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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,060	03/16/2001	Carl T. Wild	1900.0260001/JMC/CML

CONFIRMATION NO. 4671

## FORMALITIES LETTER



\*OC000000016352360\*

STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
1100 NEW YORK AVENUE, N.W.  
WASHINGTON, DC 20005

Date Mailed: 06/23/2005

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

Replies should be mailed to: Mail Stop Missing Parts  
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*A copy of this notice **MUST** be returned with the reply.*

  
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Office of Initial Patent Examination (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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July 22, 2005

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Art Unit 1648**

**Attn: Mail Stop Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/809,060; Filed: March 16, 2001  
For: **A Method for Generating Immunogens that Elicit Neutralizing  
Antibodies Against Fusion-Active Regions of HIV Envelope Proteins**  
Inventors: WILD *et al.*  
Our Ref: 1900.0260001/JMC/SJE

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
2. Submission of Substitute Sequence Listing Under 37 C.F.R. § 1.825(a);
3. 33 pages of the Substitute Sequence Listing;
4. Computer readable copy of the Substitute Sequence Listing; and
5. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
July 22, 2005  
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Simon J. Elliott  
Attorney for Applicants  
Registration No. 54,083

JMC/SJE/lam  
Enclosures

423729.1



Ifw  
16/08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Carl T. Wild *et al.*

Appl. No.: 09/809,060

Filed: March 16, 2001

For: **A Method for Generating  
Immunogens that Elicit  
Neutralizing Antibodies Against  
Fusion-Active Regions of HIV  
Envelope Proteins**

Confirmation No.: 4671

Art Unit: 1648

Examiner: Lucas, Zachariah

Atty. Docket: 1900.0260001/JMC/SJE

**Submission of Substitute Sequence Listing  
Under 37 C.F.R. § 1.825(a)**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the "Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" dated June 23, 2005, Applicants submit herewith a substitute sequence listing in compliance with 37 C.F.R. § 1.825(a).

***In the Specification:***

Please cancel the existing Sequence Listing for the above-identified application, replace it with the substitute Sequence Listing appended hereto, and insert the same at the end of the application.

***Remarks***

In the "Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" dated June 23, 2005, the Office asserts that Applicants have not submitted a paper copy of the sequence listing in compliance with 37 C.F.R. § 1.821, or a statement that the paper copy was identical to the disk copy. Applicants respectfully disagree, as paper and disk copies were submitted to the Office on September 16, 2003, in full compliance with the requirements under 37 C.F.R. §§ 1.821-1.824. Accordingly, the present filing is a submission of a substitute sequence listing under 37 C.F.R. § 1.825.

This submission does not include new matter. 37 C.F.R. § 1.825(a). In accordance with 37 C.F.R. §1.825(b), the paper copy of the Substitute Sequence Listing and the computer readable copy of the Substitute Sequence Listing submitted herewith are the same.

It is respectfully believed this application is now in condition for examination. Early notice to this effect is earnestly solicited.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Simon J. Elliott, Ph.D.  
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Registration No. 54,083

Date: July 22, 2005

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